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IEC 61511-1:2003

Australian Standard™

Functional safety—Safety instrumented systems for the process industry sector

Part 1: Framework, definitions, systems, hardware and software requirements

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PREFACE

This Standard was prepared by the Standards Australia Committee IT-006, Information Technology for Industrial Automation and Integration.

This Standard is identical with, and has been reproduced from, IEC 61511-1:2003, *Functional safety—Safety instrumented systems for the process industry sector*—Part 1: *Framework, definitions, systems, hardware and software requirements*.

The objective of this Standard is to provide requirements for the specification, design, installation, operation and maintenance of a safety instrumented system, so that it can be confidently entrusted to place and/or maintain the process in a safe state.

This Standard is Part 1 of AS IEC 61511, *Functional safety—Safety instrumented systems for the process industry sector*, which is published in parts as follows:

Part 1: Framework, definitions, system, hardware and software requirements (this Standard)
Part 2: Guidelines for the application of AS IEC 61511-1
Part 3: Guidance for the determination of the required safety integrity levels

The terms ‘normative’ and ‘informative’ are used to define the application of the annex to which they apply. A normative annex is an integral part of a standard, whereas an informative annex is only for information and guidance.

As this Standard is reproduced from an international standard, the following applies:

(a) Its number appears on the cover and title page while the international standard number appears only on the cover

(b) In the source text ‘this International Standard’ should read ‘this Australian Standard’.

(c) A full point substitutes for a comma when referring to a decimal marker.

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INTRODUCTION

Safety instrumented systems have been used for many years to perform safety instrumented functions in the process industries. If instrumentation is to be effectively used for safety instrumented functions, it is essential that this instrumentation achieves certain minimum standards and performance levels.

This standard addresses the application of safety instrumented systems for the process industries. It also requires a process hazard and risk assessment to be carried out to enable the specification for safety instrumented systems to be derived. Other safety systems are only considered so that their contribution can be taken into account when considering the performance requirements for the safety instrumented systems. The safety instrumented system includes all components and subsystems necessary to carry out the safety instrumented function from sensor(s) to final element(s).

This standard has two concepts which are fundamental to its application; safety lifecycle and safety integrity levels.

This standard addresses safety instrumented systems which are based on the use of electrical/electronic/programmable electronic technology. Where other technologies are used for logic solvers, the basic principles of this standard should be applied. This standard also addresses the safety instrumented system sensors and final elements regardless of the technology used. This standard is process industry specific within the framework of IEC 61508 (see Annex A).

This standard sets out an approach for safety life-cycle activities to achieve these minimum standards. This approach has been adopted in order that a rational and consistent technical policy is used.

In most situations, safety is best achieved by an inherently safe process design. If necessary, this may be combined with a protective system or systems to address any residual identified risk. Protective systems can rely on different technologies (chemical, mechanical, hydraulic, pneumatic, electrical, electronic, programmable electronic). To facilitate this approach, this standard

- requires that a hazard and risk assessment is carried out to identify the overall safety requirements;
- requires that an allocation of the safety requirements to the safety instrumented system(s) is carried out;
- works within a framework which is applicable to all instrumented methods of achieving functional safety;
- details the use of certain activities, such as safety management, which may be applicable to all methods of achieving functional safety.

This standard on safety instrumented systems for the process industry

- addresses all safety life-cycle phases from initial concept, design, implementation, operation and maintenance through to decommissioning;
- enables existing or new country specific process industry standards to be harmonized with this standard.

This International Standard is intended to lead to a high level of consistency (for example, of underlying principles, terminology, information) within the process industries. This should have both safety and economic benefits.

In jurisdictions where the governing authorities (for example, national, federal, state, province, county, city) have established process safety design, process safety management, or other requirements, these take precedence over the requirements defined in this standard.

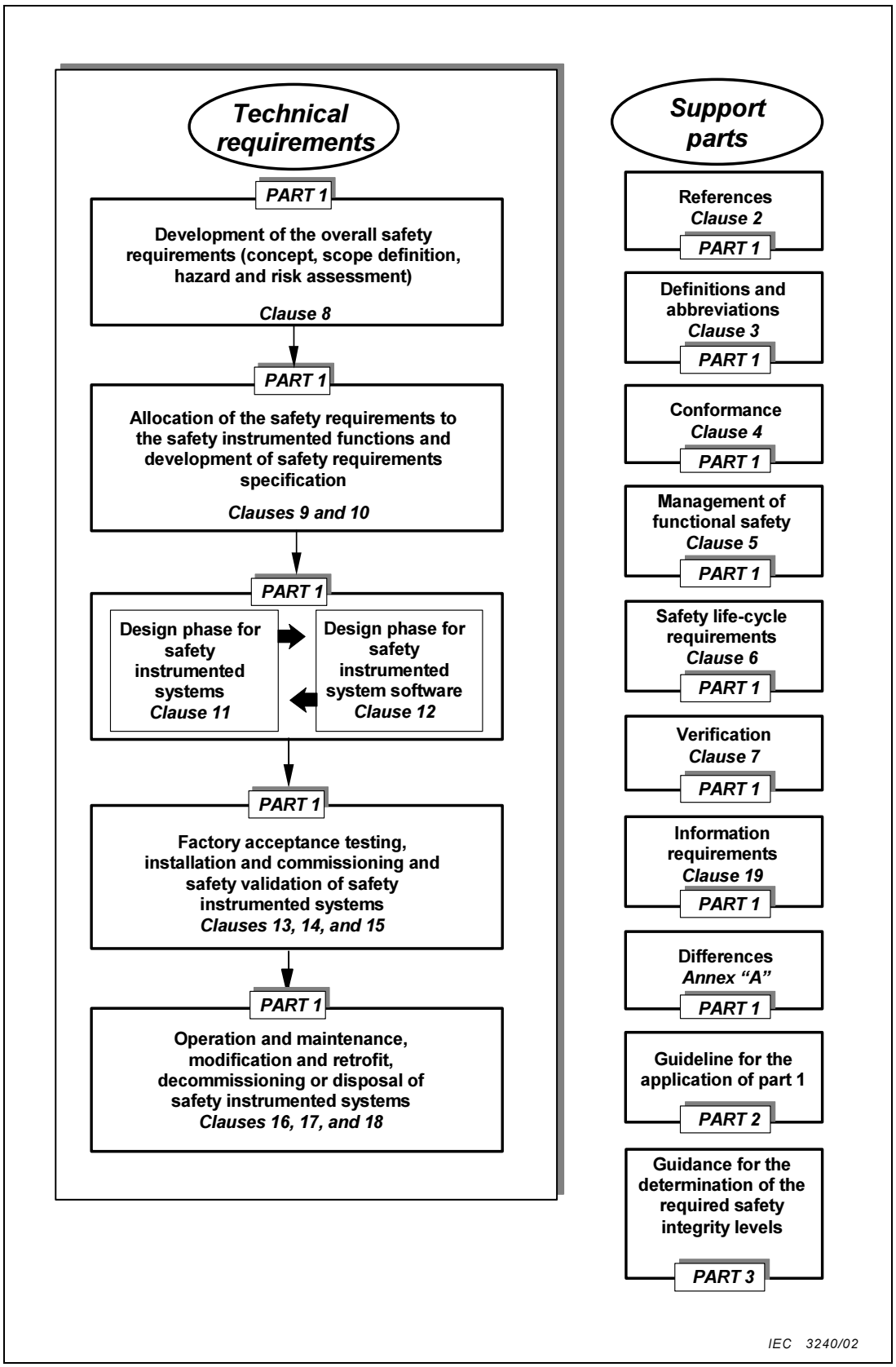

Figure 1 – Overall framework of this standard

NOTES

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Any table, figure or text of the international standard that is struck through is not part of this standard. Any Australian/New Zealand table, figure or text that is added is part of this standard and is identified by shading.

1 Scope

This International Standard gives requirements for the specification, design, installation, operation and maintenance of a safety instrumented system, so that it can be confidently entrusted to place and/or maintain the process in a safe state. This standard has been developed as a process sector implementation of IEC 61508.

In particular, this standard

a) specifies the requirements for achieving functional safety but does not specify who is responsible for implementing the requirements (for example, designers, suppliers, owner/operating company, contractor); this responsibility will be assigned to different parties according to safety planning and national regulations;

b) applies when equipment that meets the requirements of IEC 61508, or of 11.5 of IEC 61511-1, is integrated into an overall system that is to be used for a process sector application but does not apply to manufacturers wishing to claim that devices are suitable for use in safety instrumented systems for the process sector (see IEC 61508-2 and IEC 61508-3);

c) defines the relationship between IEC 61511 and IEC 61508 (Figures 2 and 3);

d) applies when application software is developed for systems having limited variability or fixed programmes but does not apply to manufacturers, safety instrumented systems designers, integrators and users that develop embedded software (system software) or use full variability languages (see IEC 61508-3);

e) applies to a wide variety of industries within the process sector including chemicals, oil refining, oil and gas production, pulp and paper, non-nuclear power generation;

NOTE Within the process sector some applications, (for example, off-shore), may have additional requirements that have to be satisfied.

f) outlines the relationship between safety instrumented functions and other functions (Figure 4);

g) results in the identification of the functional requirements and safety integrity requirements for the safety instrumented function(s) taking into account the risk reduction achieved by other means;

h) specifies requirements for system architecture and hardware configuration, application software, and system integration;