

Australian/New Zealand Standard™

**Conformity assessment—Requirements
for bodies providing audit and
certification of management systems**

**Part 2: Competence requirements for
auditing and certification of
environmental management systems**



AS/NZS ISO/IEC 17021.2:2013

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The following are represented on Committee QR-011:

Australasian Institute of Mining and Metallurgy
Australian Chamber of Commerce and Industry
Australian Environment Business Network
Australian and New Zealand Clinical Waste Management Industry Group
Business New Zealand
Certification Interests (Australia)
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PREFACE

This Standard was prepared by the Joint Standards Australia/Standards New Zealand Committee QR-011, Environmental Management Systems.

The objective of this Standard is to clarify the requirements for the competence of personnel involved in the certification process set out in AS/NZS ISO/IEC 17021:2011, Annex A. The guiding principles in AS/NZS ISO/IEC 17021:2011, Clause 4, are the basis for the requirements in this Standard.

This Standard is identical with, and has been reproduced from ISO/IEC TS 17021-2:2012, *Conformity assessment—Requirements for bodies providing audit and certification of management systems, Part 2: Competence requirements for auditing and certification of environmental management systems*.

As this Standard is reproduced from an International Technical Specification, the following applies:

- (a) In the source text ‘this Technical Specification’ should read ‘this Australian/New Zealand Standard’.
- (b) A full point substitutes for a comma when referring to a decimal marker.

References to International Standards should be replaced by references to Australian or Australian/New Zealand Standards, as follows:

<i>Reference to International Standard</i>		<i>Australian/New Zealand Standard</i>	
ISO/IEC		AS/NZS ISO/IEC	
17021	Conformity assessment—Requirements for bodies providing audit and certification of management systems	17021	Conformity assessment—Requirements for bodies providing audit and certification of management systems

The term ‘informative’ has been used in this Standard to define the application of the annex to which it applies. An ‘informative’ annex is only for information and guidance.

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INTRODUCTION

This Technical Specification complements ISO/IEC 17021. In particular, it clarifies the requirements for the competence of personnel involved in the certification process set out in ISO/IEC 17021:2011, Annex A.

The guiding principles in ISO/IEC 17021:2011, Clause 4, are the basis for the requirements in this Technical Specification.

Certification bodies have a responsibility to interested parties, including their clients and the customers of the organizations whose management systems are certified, to ensure that only those auditors who demonstrate the relevant competence are allowed to conduct Environmental Management System (EMS) audits.

All EMS auditors should possess the generic competencies described in ISO/IEC 17021 as well as the specific EMS knowledge described in this Technical Specification.

Certification bodies will need to identify the specific audit team competence needed for the scope of each EMS audit. The selection of an EMS audit team will depend upon various factors including the EMS technical area, the client organization, its environmental aspects and the site where these aspects occur.

The competence requirements for other personnel involved in certification activities are also described.

AUSTRALIAN/NEW ZEALAND STANDARD

Conformity assessment—Requirements for bodies providing audit and certification of management systems

Part 2:

Competence requirements for auditing and certification of environmental management systems

1 Scope

This Technical Specification specifies additional competence requirements for personnel involved in the audit and certification process for Environmental Management Systems (EMS) and complements the existing requirements of ISO/IEC 17021.

2 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO 14050, *Environmental management — Vocabulary*

ISO/IEC 17021, *Conformity assessment — Requirements for bodies providing audit and certification of management systems*

3 Terms and definitions

For the purposes of this document, the terms and definitions given in ISO 14050 and ISO/IEC 17021 and the following apply.

3.1**EMS technical area**

area characterized by similar activities, products or services and the related environmental aspects

4 Generic competence requirements

The certification body shall define the competence requirements for each relevant EMS technical area and for each function in the certification activity. The certification body shall take into account all the requirements specified in Clauses 5, 6 and 7 of this Technical Specification that are relevant for the EMS technical areas as defined by the certification body. See Tables A.1 and A.2 for a summary of the competence requirements for personnel involved in specific certification functions.