AS 4024.1301—2006

Australian Standard™

Safety of machinery

Part 1301: Risk assessment—Principles of risk assessment

This Australian Standard was prepared by Committee SF-041, General Principles for the Guarding of Machinery. It was approved on behalf of the Council of Standards Australia on 11 April 2006.
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The following are represented on Committee SF-041:

Australian Chamber of Commerce and Industry
Australian Electrical and Electronic Manufacturers Association
Department for Administration and Information Services, SA
Department of Consumer and Employment Protection, WorkSafe Division, WA
Department of Primary Industries, Mine Safety, NSW
Engineers Australia
Federal Chamber of Automotive Industries
Human Factors and Ergonomics Society of Australia
Institution of Instrumentation, Control and Automation Australia
National Electrical and Communications Association
National Safety Council of Australia
Office of the Australian Safety and Compensation Council
Safety Institute of Australia
The University of Melbourne
Tractor and Machinery Association of Australia
Victorian WorkCover Authority

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Part 1301: Risk assessment—Principles of risk assessment

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PREFACE

This Standard was prepared by the Standards Australia Committee SF-041, General Principles for the Guarding of Machinery, as revision (in part) of AS 4024.1—1996, *Safeguarding of machinery*, Part 1: *General principles*.

During its work, the Committee considered a number of Standards originating within the European Community in the field of safety of machinery. Many of these European Standards are being adopted virtually unchanged as International Standards by the International Organization for Standardization (ISO) and the Committee has agreed to continue to use material emanating from both CEN and ISO in this new edition. This action will maintain consistency with previous editions of AS 4024.1 and other machine-specific Australian Standards.

This edition has been published as a series of Parts rather than the single Standard previously published as AS 4024.1. In doing this, the Committee has cleared the way for simple revisions in the future. When a new edition of a relevant Standard becomes available at the international level, it will be adopted and published within the framework of AS 4024 with a minimum delay, so ensuring continued international alignment.

The term ‘informative’ has been used in this Standard to define the application of the appendix to which it applies. An ‘informative’ appendix is only for information and guidance.

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STANDARDS AUSTRALIA

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Part 1301: Risk assessment—Principles of risk assessment

1 SCOPE

This Standard specifies general principles for the procedure known as risk assessment, by which the knowledge and experience of the design, use, incidents, accidents and harm related to machinery is brought together in order to assess the risks during all phases of the life of the machinery (see AS 4024.1201).

This Standard gives guidance on the information required to allow risk assessment to be carried out. Procedures are described for identifying hazards and estimating and evaluating risk. The intent of the Standard is to provide advice for decisions to be made on the safety of machinery and the type of documentation required to verify the risk assessment carried out.

This Standard is not intended to provide a detailed account of methods for analysing hazards and estimating risk, as this is dealt with elsewhere (e.g. textbooks and other reference documents).

NOTE: A summary of some of these methods is given in Appendix A.

2 OBJECTIVE

The objective of this Standard is to enable designers, manufacturers, suppliers, employers and users of machinery to minimize the risks to the health and safety of employees and others working with or otherwise near machinery, by providing them with the means to assess those risks.

3 REFERENCED DOCUMENTS

The following documents are referred to in this Standard.

AS	
4024	Safety of machinery
4024.1201	Part 1201: General principles—Basic terminology and methodology
4024.1202	Part 1202: General principles—Technical principles
60204	Safety of machinery—Electrical equipment of machines
60204.1	Part 1: General requirements (IEC 60204-1, Ed.5 (FDIS) MOD)
IEC	
60812	Analysis techniques for system reliability—Procedure for failure mode and effects analysis (FMEA)
61025	Fault tree analysis (FTA)

4 DEFINITIONS

For the purposes of this Standard, the definitions given in AS 4024.1201 and the following apply.

