



## **Pressure equipment—Conformity assessment**



This Australian Standard® was prepared by Committee ME-001, Pressure Equipment. It was approved on behalf of the Council of Standards Australia on 9 December 2014. This Standard was published on 16 February 2015.

---

The following are represented on Committee ME-001:

- Australasian Corrosion Association
  - Australasian Institute of Engineer Surveyors
  - Australasian Institute of Engineering Inspectors
  - Australian Aluminium Council
  - Australian Building Codes Board
  - Australian Chamber of Commerce and Industry
  - Australian Industry Group
  - Australian Institute for the Certification of Inspection Personnel
  - Australian Institute of Energy
  - Australian Institute of Petroleum
  - Bureau of Steel Manufacturers of Australia
  - Department of Justice and Attorney General, Qld
  - Energy Networks Association
  - Engineers Australia
  - Materials Australia
  - National Association of Testing Authorities Australia
  - Welding Technology Institute of Australia
  - WorkCover New South Wales
  - WorkSafe Victoria
  - WorkSafe Division, Department of Commerce, WA
- 

This Standard was issued in draft form for comment as DR AS 3920.

Standards Australia wishes to acknowledge the participation of the expert individuals that contributed to the development of this Standard through their representation on the Committee and through the public comment period.

---

#### **Keeping Standards up-to-date**

Australian Standards® are living documents that reflect progress in science, technology and systems. To maintain their currency, all Standards are periodically reviewed, and new editions are published. Between editions, amendments may be issued.

Standards may also be withdrawn. It is important that readers assure themselves they are using a current Standard, which should include any amendments that may have been published since the Standard was published.

Detailed information about Australian Standards, drafts, amendments and new projects can be found by visiting [www.standards.org.au](http://www.standards.org.au)

Standards Australia welcomes suggestions for improvements, and encourages readers to notify us immediately of any apparent inaccuracies or ambiguities. Contact us via email at [mail@standards.org.au](mailto:mail@standards.org.au), or write to Standards Australia, GPO Box 476, Sydney, NSW 2001.

---

Australian Standard<sup>®</sup>

**Pressure equipment—Conformity  
assessment**

Originated as AS 3920.1—1993.  
Revised and redesignated as AS 3920:2015.

**COPYRIGHT**

© Standards Australia Limited

All rights are reserved. No part of this work may be reproduced or copied in any form or by any means, electronic or mechanical, including photocopying, without the written permission of the publisher, unless otherwise permitted under the Copyright Act 1968.

Published by SAI Global Limited under licence from Standards Australia Limited, GPO Box 476, Sydney, NSW 2001, Australia

ISBN 978 1 74342 984 6

## PREFACE

This Standard was prepared by the Australian members of Joint Standards Australia/Standards New Zealand Committee ME-001, Pressure Equipment, to supersede AS 3920.1—1993, *Assurance of product quality, Part 1: Pressure equipment manufacture*. With this revision, the Standard will now be known as AS 3920, *Pressure equipment—Conformity assessment*.

After consultation with stakeholders in both countries, Standards Australia and Standards New Zealand decided to develop this Standard as an Australian Standard rather than an Australia/New Zealand Standard.

When first issued, this Standard was a most advanced Standard which responded to the increasing recognition of quality systems as alternatives to, or supplements for, inspection, and the potential to reduce conformity assessment (CA) effort as the level of hazard is reduced.

The changing laws and industry needs, including the increased prevalence of imported pressure equipment, have now made it essential to revise the Standard to address conformity assessment.

This Standard is expected to become a significant document used by industry in Australia to provide guidance in ensuring that all pressure equipment used in Australia, including imported pressure equipment, is safe and conforms with an accepted Standard. Conformity assessment requirements have been included to provide reasonable compatibility with overseas pressure equipment practices, such as those required by the ASME *Boiler and Pressure Vessels Code* and the EU-PED European Union—Pressure Equipment Directive.

The amount of conformity assessment follows the same basic principles as international codes but an over-riding principle remains in applying more stringent requirements as the consequences of pressure equipment failure (i.e. hazard level) increases.

Changes since the 1993 edition now include the following:

- (a) Removal of Appendix B, ‘Hazard levels of pressure equipment’ to a separate Standard, AS 4343—2005, *Pressure equipment—Hazard levels*.
- (b) Incorporation of AS 3920.1—1993, Amendment 1:1995 and Amendment 2:1999.
- (c) Changes to align with the new work health and safety (WHS) laws recognizing the need for prescriptive requirements for self-regulation by industry.
- (d) Requirements for whole of life conformity assessment, with reference to AS/NZS 3788 for conformity assessment at the vital commissioning and in-service stages.
- (e) Modification to Table 2.1 to improve flexibility and understanding of requirements, to ensure Australian practices with design verification are clarified, and to provide alignment and guidance on leading overseas practices in recognition of increased use of overseas-designed pressure equipment in Australia.
- (f) Recognition and use of the latest international quality Standards, e.g. AS/NZS ISO 9001 and AS/NZS ISO 3834 (the latter being appropriate for welded manufacture), and specifying the requirements for the practices and independence of inspection and design verifying bodies, using AS/NZS ISO/IEC 17020 in place of outdated requirements.
- (g) Normative Appendix F included on competent bodies and persons, design verification and manufacture inspection.
- (h) Updating of terms, references and some details.

The above changes are expected to improve safety and economy for all pressure equipment from any source used in Australia at a reasonable cost appropriate to the hazard presented by the equipment.

Statements expressed in mandatory terms in notes to tables and figures are deemed to be requirements of this Standard.

The terms 'normative' and 'informative' have been used in this Standard to define the application of the appendix to which they apply. A 'normative' appendix is an integral part of a Standard, whereas an 'informative' appendix is only for information and guidance.

## CONTENTS

	<i>Page</i>
SECTION 1 SCOPE AND GENERAL	
1.1 SCOPE.....	6
1.2 OBJECTIVE.....	6
1.3 APPLICATION.....	7
1.4 REFERENCED DOCUMENTS.....	8
1.5 DEFINITIONS.....	8
1.6 STATUS OF STANDARD.....	10
1.7 ABBREVIATIONS.....	11
SECTION 2 BASIC REQUIREMENTS	
2.1 CONFORMITY ASSESSMENT.....	12
2.2 ALTERATIONS.....	14
2.3 TYPES OF INSPECTION AND VERIFICATION BODIES.....	15
2.4 SUB-CONTRACTORS.....	15
2.5 DOCUMENT LANGUAGE.....	15
2.6 ASSESSMENT OF PRESSURE EQUIPMENT WITH LIMITED DATA.....	15
2.7 DESIGN AND MANUFACTURE VALIDATION.....	16
SECTION 3 QUALITY SYSTEMS—DESIGN AND MANUFACTURE	
3.1 GENERAL.....	17
3.2 QUALITY SYSTEM REQUIREMENTS.....	17
3.3 OPERATION OF QUALITY SYSTEM.....	18
3.4 QUALITY SYSTEM DOCUMENTATION.....	18
SECTION 4 DESIGN VERIFICATION	
4.1 GENERAL.....	19
4.2 PURPOSE.....	19
4.3 BASIS OF DESIGN CONFORMITY ASSESSMENT.....	19
4.4 STAGE OF DESIGN VERIFICATION.....	19
4.5 INFORMATION FOR DESIGN VERIFICATION.....	20
4.6 AMOUNT OF DESIGN VERIFICATION.....	20
4.7 DESIGN STANDARD AND SPECIFICATION.....	20
4.8 DESIGN VERIFYING BODIES AND DESIGN VERIFIERS.....	20
4.9 ELEMENTS AND METHOD OF DESIGN VERIFICATION.....	21
4.10 COMPUTER-AIDED DESIGN VERIFICATION.....	23
4.11 DESIGN VERIFICATION CERTIFICATE.....	23
4.12 DESIGN REGISTRATION.....	23
4.13 DESIGN VERIFICATION WITH LIMITED DATA.....	23
4.14 DESIGN VERIFICATION TO CHANGED STANDARD.....	24
4.15 CONFIDENTIALITY.....	24
SECTION 5 TYPE TESTING	
5.1 GENERAL.....	25
5.2 REQUEST FOR VERIFICATION OF TYPE TESTING.....	25
5.3 WITNESS AND ASSESSMENT.....	25
5.4 TYPE TESTING METHOD.....	26
5.5 TYPE TESTING CERTIFICATE.....	26
5.6 MANUFACTURE INSPECTION.....	26
5.7 ALTERATIONS.....	26

SECTION 6 MANUFACTURE INSPECTION	
6.1	GENERAL..... 27
6.2	INFORMATION FOR MANUFACTURE INSPECTION ..... 27
6.3	AMOUNT OF MANUFACTURE INSPECTION..... 27
6.4	MANUFACTURING INSPECTION METHOD..... 27
6.5	FACILITIES FOR INSPECTION..... 27
6.6	MANUFACTURE INSPECTION BODY..... 28
6.7	INSPECTION REPORT ..... 28
6.8	CONFIDENTIALITY..... 28
SECTION 7 CONFORMITY ASSESSMENT MARKING AND REPORTS	
7.1	MARKING ..... 29
7.2	DESIGN VERIFICATION CERTIFICATE..... 29
7.3	MANUFACTURER'S DECLARATION OF CONFORMITY ..... 29
7.4	IN-SERVICE INSPECTION REPORT..... 29
SECTION 8 REGISTRATION..... 30	
APPENDICES	
A	REFERENCED DOCUMENTS..... 31
B	DESIGN VERIFICATION PROCESS..... 33
C	DESIGN VERIFICATION CERTIFICATE..... 35
D	MANUFACTURE INSPECTION..... 36
E	DECLARATION OF CONFORMITY FOR THE DESIGN AND MANUFACTURE OF PRESSURE EQUIPMENT ..... 38
F	REQUIREMENTS FOR CONFORMITY ASSESSMENT BODIES AND PERSONNEL ..... 39
G	CONCEPT OF CONFORMITY ASSESSMENT INFRASTRUCTURE..... 42

## STANDARDS AUSTRALIA

---

**Australian Standard**  
**Pressure equipment—Conformity assessment**

---

## SECTION 1 SCOPE AND GENERAL

**1.1 SCOPE**

This Standard specifies the minimum requirements for the assessment for conformity of boilers, pressure vessels and pressure piping, including pressure-retaining accessories, to a nominated, recognized pressure equipment Standard or Code.

This Standard details specific requirements for conformity assessment of design and manufacture; however, it can equally be used more generally over the whole life cycle of pressure equipment, including repairs. For conformity assessment associated with commissioning and other in-service inspection, refer to AS/NZS 3788.

NOTE: Failure to consider conformity assessment at the early stages of the life cycle of pressure equipment can add significantly to the time and costs of the project. In the worst case inappropriate conformity assessment may force the rejection of prior work.

Optional methods for conformity assessment (CA) are provided through various combinations of—

- (a) design and manufacture quality systems; and
- (b) design verification, type testing and manufacture inspection.

The selection of the appropriate method of conformity assessment depends on the hazard levels determined by AS 4343.

NOTE: Figure 1.1 provides information on the main elements of the conformity assessment process covered by this Standard.

Additional requirements may be specified provided these are not lower than the level of conformity in this Standard and are negotiated by the relevant parties, e.g. purchaser, designer, manufacturer, repairer, design verifying body, manufacture inspection body and accreditation or certification bodies.

**1.2 OBJECTIVE**

The objective of this Standard is to—

- (a) specify clear, safe, minimum requirements for conformity assessment of pressure equipment;
- (b) assist all parties involved in the provision and use of safe pressure equipment;
- (c) provide alternative conformity assessment methods that are appropriate to the hazard level of the equipment and acceptable to the parties concerned; and
- (d) help ensure that the pressure equipment conforms to relevant requirements during its lifetime.