

AS 1735.1.3:2021  
EN 81-50:2020



# Lifts, escalators and moving walks

## Part 1.3: Safety rules for the construction and installation of lifts — Examinations and tests — Design rules, calculations, examinations and tests of lift components

*This national standard is the identical adoption of EN 81-50:2020 with the permission of the European Committee for Standardization — CEN, Rue de la Science 23, B — 1040 Brussels, Belgium.*



AS 1735.1.3:2021

This Australian Standard ® was prepared by ME-004, Lift Installations. It was approved on behalf of the Council of Standards Australia on 13 September 2021.

This Standard was published on 24 September 2021.

The following are represented on Committee ME-004:

- Association of Consultants in Access Australia
- Australasian Fire and Emergency Service Authorities Council
- Australian Chamber of Commerce and Industry
- Australian Elevator Association
- Australian Industry Group
- Communications, Electrical and Plumbing Union — Electrical Division
- Engineers Australia
- Lift Engineering Society of Australia

This Standard was issued in draft form for comment as DR AS 1735.1.3:2021.

### **Keeping Standards up-to-date**

Ensure you have the latest versions of our publications and keep up-to-date about Amendments, Rulings, Withdrawals, and new projects by visiting:

[www.standards.org.au](http://www.standards.org.au)

# Lifts, escalators and moving walks

## Part 1.3: Safety rules for the construction and installation of lifts — Examinations and tests — Design rules, calculations, examinations and tests of lift components

First published as AS 1735.1.3:2021.

### **COPYRIGHT**

© CEN 2021 — All rights reserved  
© Standards Australia Limited 2021

All rights are reserved. No part of this work may be reproduced or copied in any form or by any means, electronic or mechanical, including photocopying, without the written permission of the publisher, unless otherwise permitted under the Copyright Act 1968 (Cth).

## Preface

This Standard was prepared by the Standards Australia Committee ME-004, Lift Installations.

The objective of this document is to specify the design rules, calculations, examinations and tests of lift components which are referred to by other standards used for the design of passenger lifts, goods passenger lifts, goods only lifts, and other similar types of lifting appliances.

This document is identical with, and has been reproduced from, EN 81-50:2020, *Safety rules for the construction and installation of lifts - Examinations and tests - Part 50: Design rules, calculations, examinations and tests of lift components*.

As this document has been reproduced from an International Standard, a full point substitutes for a comma when referring to a decimal marker.

Australian or Australian/New Zealand Standards that are identical adoptions of international normative references may be used interchangeably. Refer to the online catalogue for information on specific Standards.

The terms “normative” and “informative” are used in Standards to define the application of the appendices or annexes to which they apply. A “normative” appendix or annex is an integral part of a Standard, whereas an “informative” appendix or annex is only for information and guidance.

## NOTES

<b>Contents</b>	<b>Page</b>
European foreword .....	5
Introduction .....	7
<b>1 Scope .....</b>	<b>8</b>
<b>2 Normative references .....</b>	<b>8</b>
<b>3 Terms and definitions .....</b>	<b>9</b>
<b>4 List of significant hazards .....</b>	<b>9</b>
<b>5 Design rules, calculations, examinations and tests .....</b>	<b>11</b>
<b>5.1 General provisions for type examinations of safety components .....</b>	<b>11</b>
5.1.1 Object and extent of the tests .....	11
5.1.2 General provisions .....	11
<b>5.2 Type examination of landing and car door locking devices .....</b>	<b>12</b>
5.2.1 General provisions .....	12
5.2.2 Examination and tests.....	12
5.2.3 Test particular to certain types of locking devices .....	15
5.2.4 Type examination certificate .....	15
<b>5.3 Type examination of safety gear .....</b>	<b>15</b>
5.3.1 General provisions .....	15
5.3.2 Instantaneous safety gear .....	16
5.3.3 Progressive safety gear .....	18
5.3.4 Comments .....	21
5.3.5 Type examination certificate .....	21
<b>5.4 Type examination of overspeed governors .....</b>	<b>22</b>
5.4.1 General provisions .....	22
5.4.2 Check on the characteristics of the overspeed governor .....	22
5.4.3 Type examination certificate .....	23
<b>5.5 Type examination of buffers.....</b>	<b>23</b>
5.5.1 General provisions .....	23
5.5.2 Samples to be submitted .....	24
5.5.3 Test.....	24
5.5.4 Type examination certificate .....	27
<b>5.6 Type examination of safety circuits containing electronic components and/or programmable electronic systems (PESSRAL).....</b>	<b>28</b>
5.6.1 General provisions .....	28
5.6.2 Test samples .....	28
5.6.3 Tests.....	29
5.6.4 Type examination certificate .....	30
<b>5.7 Type examination of ascending car overspeed protection means .....</b>	<b>31</b>
5.7.1 General provisions .....	31
5.7.2 Statement and test sample .....	31
5.7.3 Test.....	32
5.7.4 Possible modification to the adjustments .....	33
5.7.5 Test report .....	33
5.7.6 Type examination certificate .....	33
<b>5.8 Type examination of unintended car movement protection means.....</b>	<b>34</b>
5.8.1 General provisions .....	34
5.8.2 Statement and test sample .....	34
5.8.3 Test.....	35
5.8.4 Possible modification to the adjustments .....	37
5.8.5 Test report .....	37
5.8.6 Type examination certificate .....	37
<b>5.9 Type examination of rupture valve/one-way restrictor.....</b>	<b>37</b>
5.9.1 General provisions .....	37

5.10	Guide rails calculation .....	42
5.10.1	Range of calculation .....	42
5.10.2	Bending .....	42
5.10.3	Buckling .....	43
5.10.4	Combination of bending and compression/tension or buckling stresses .....	44
5.10.5	Flange bending .....	45
5.10.6	Deflections .....	46
5.11	Evaluation of traction .....	46
5.11.1	Introduction .....	46
5.11.2	Traction calculation .....	47
5.11.3	Formulae for a general case .....	51
5.12	Evaluation of safety factor on suspension ropes for electric lifts .....	54
5.12.1	General .....	54
5.12.2	Equivalent number $N_{equiv}$ of pulleys .....	54
5.12.3	Safety factor .....	56
5.13	Calculations of rams, cylinders, rigid pipes and fittings .....	58
5.13.1	Calculation against over pressure .....	58
5.13.2	Calculations of the jacks against buckling .....	59
5.14	Pendulum shock tests .....	64
5.14.1	General .....	64
5.14.2	Test rig .....	64
5.14.3	Tests .....	64
5.14.4	Interpretation of the results .....	65
5.14.5	Test report .....	65
5.15	Electronic components - Failure exclusion .....	69
5.16	Design rules for programmable electronic systems (PESSRAL) .....	76
Annex A (normative) Model form of type examination certificate .....		77
Annex B (normative) Programmable electronic systems in safety related applications for lifts (PESSRAL) .....		78
B.1	Common measures .....	78
B.2	Specific measures .....	80
B.3	Descriptions of possible measures .....	84
Annex C (informative) Example for calculation of guide rails .....		89
C.1	General .....	89
C.2	General configuration for lifts with safety gear .....	91
C.2.1	Safety gear operation .....	91
C.2.1.1	Bending stress .....	91
C.2.1.2	Buckling .....	92
C.2.1.3	Combined stress .....	92
C.2.1.4	Flange bending .....	93
C.2.1.5	Deflections .....	93
C.2.2	Normal operation, running .....	93
C.2.2.1	Bending stress .....	93
C.2.2.2	Buckling .....	93
C.2.2.3	Combined stress .....	93
C.2.2.4	Flange bending .....	93
C.2.2.5	Deflection .....	94

<b>C.2.3 Normal operation, loading .....</b>	<b>94</b>
<b>C.2.3.1 Bending stress .....</b>	<b>94</b>
<b>C.2.3.2 Buckling .....</b>	<b>94</b>
<b>C.2.3.3 Combined stress .....</b>	<b>94</b>
<b>C.2.3.4 Flange bending .....</b>	<b>95</b>
<b>C.2.3.5 Deflections .....</b>	<b>95</b>
<b>Annex D (informative) Calculation of traction – Example .....</b>	<b>96</b>
<b>Annex E (informative) Equivalent number of pulleys <math>N_{equiv}</math> - Examples .....</b>	<b>98</b>
<b>Annex ZA (informative) Relationship between this European Standard and the essential requirements of Directive 2014/33/EU aimed to be covered .....</b>	<b>99</b>
<b>Bibliography .....</b>	<b>101</b>

## European foreword

This document (EN 81-50:2020) has been prepared by Technical Committee CEN/TC 10 “Lifts, escalators and moving walks”, the secretariat of which is held by AFNOR.

This European Standard shall be given the status of a national standard, either by publication of an identical text or by endorsement, at the latest by August 2020, and conflicting national standards shall be withdrawn at the latest by February 2022.

Attention is drawn to the possibility that some of the elements of this document may be the subject of patent rights. CEN shall not be held responsible for identifying any or all such patent rights.

This document supersedes EN 81-50:2014.

This document is a revision of EN 81-50:2014. Significant changes made are as follows:

- All externally referenced standards have now been dated
- A new Annex ZA has been developed in order to be aligned with the requirements of the EU Commission Standardization Request “M/549 C(2016) 5884 final”

No technical changes have been made during this revision

This standard is the culmination of the progressive development of the EN standards for lifts. Previous versions of the EN 81-1 and EN 81-2 standards incorporated into EN 81-20:2020 and EN 81-50:2020 include:

- EN 81-1:1985, Safety rules for electric lifts;
- EN 81-1:1998, Safety rules for electric lifts;
- EN 81-1:1998, Corrigendum No 1:1999;
- EN 81-1:1998/A1:2005, incorporating programmable electronic system in safety related applications for lifts;
- EN 81-1:1998/A2:2004, incorporating machine-room-less lifts;
- EN 81-1:1998+A3:2009, Incorporating unintended car movement with open doors;
- EN 81-2:1987, Safety rules for hydraulic lifts;
- EN 81-2:1998, Safety rules for hydraulic lifts;
- EN 81-2:1998, Corrigendum No 1:1999;
- EN 81-2:1998/A1:2005, incorporating programmable electronic system in safety related applications for lifts;
- EN 81-2:1998/A2:2004, incorporating machine-room-less lifts;
- EN 81-2:1998+A3:2009, incorporating unintended car movement with open doors.

The content of this standard provides the design rules, calculations, examinations and tests for lifts component, the requirements of which are specified in other EN 81 series of standards. Therefore this standard can only be used in conjunction with the standards for specific lift types, e.g. EN 81-20 for passenger and goods passenger lifts.

This standard is part of the EN 81 series of standards. The structure of the EN 81 series is described in CEN/TR 81-10.

This document has been prepared under a mandate given to CEN by the European Commission and the European Free Trade Association, and supports essential requirements of EU Directive(s).

For relationship with EU Directive(s), see informative Annex ZA, which is an integral part of this document.

According to the CEN-CENELEC Internal Regulations, the national standards organisations of the following countries are bound to implement this European Standard: Austria, Belgium, Bulgaria, Croatia, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Norway, Poland, Portugal, Republic of North Macedonia, Romania, Serbia, Slovakia, Slovenia, Spain, Sweden, Switzerland, Turkey and the United Kingdom.

## **Introduction**

The object of this standard is to define safety rules related to lifts with a view to safeguarding persons and objects against the risk of accidents associated with the user-, maintenance- and emergency operation of lifts.

Reference should be made to the respective introductions of the standards calling for the use of this standard with regard to persons and objects to be safeguarded, assumptions, principles, etc.

## 1 Scope

This document specifies the design rules, calculations, examinations and tests of lift components which are referred to by other standards used for the design of passenger lifts, goods passenger lifts, goods only lifts, and other similar types of lifting appliances.

## 2 Normative references

The following documents are referred to in the text in such a way that some or all of their content constitutes requirements of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies

EN 81-20:2020, *Safety rules for the construction and installation of lifts – Lifts for the transport of persons and goods – Part 20: Passenger and goods passenger lifts*

EN 10025 (series), *Hot rolled products of non-alloy structural steels - Technical delivery conditions*

EN 12385-5:2002, *Steel wire ropes - Safety - Part 5: Stranded ropes for lifts*

EN 60068-2-6:2008, *Environmental testing - Part 2-6: Tests - Test Fc: Vibration (sinusoidal)*

EN 60068-2-14:2009, *Environmental testing - Part 2-14: Tests - Test N: Change of temperature*

EN 60068-2-27:2009, *Environmental testing - Part 2-27: Tests - Test Ea and guidance: Shock*

EN 60112:2003, *Method for the determination of the proof and the comparative tracking indices of solid insulating materials*

EN 60664-1:2007, *Insulation coordination for equipment within low-voltage systems - Part 1: Principles, requirements and tests*

EN 60947-4-1:2010, *Low-voltage switchgear and controlgear - Part 4-1: Contactors and motor-starters - Electromechanical contactors and motor-starters*

EN 60947-5-1:2017, *Low-voltage switchgear and controlgear - Part 5-1: Control circuit devices and switching elements - Electromechanical control circuit devices*

EN 61508-1:2010, *Functional safety of electrical/electronic/programmable electronic safety-related systems - Part 1: General requirements*

EN 61508-2:2010, *Functional safety of electrical/electronic/programmable electronic safety-related systems - Part 2: Requirements for electrical/electronic/programmable electronic safety-related systems*

EN 61508-3:2010, *Functional safety of electrical/electronic/programmable electronic safety-related systems - Part 3: Software requirements*

EN 61508-7:2010, *Functional safety of electrical/electronic/programmable electronic safety-related systems - Part 7: Overview of techniques and measures*

EN ISO 12100:2010, *Safety of machinery - General principles for design - Risk assessment and risk reduction (ISO 12100:2010)*